

# Analysis of Recursively Parallel Programs<sup>\*</sup>

Ahmed Bouajjani

LIAFA, Université Paris Diderot, France  
abou@liafa.jussieu.fr

Michael Emmi<sup>†</sup>

LIAFA, Université Paris Diderot, France  
mje@liafa.jussieu.fr

## Abstract

We propose a general formal model of isolated hierarchical parallel computations, and identify several fragments to match the concurrency constructs present in real-world programming languages such as Cilk and X10. By associating fundamental formal models (vector addition systems with recursive transitions) to each fragment, we provide a common platform for exposing the relative difficulties of algorithmic reasoning. For each case we measure the complexity of deciding state-reachability for finite-data recursive programs, and propose algorithms for the decidable cases. The complexities which include PTIME, NP, EXPSPACE, and 2EXPTIME contrast with undecidable state-reachability for recursive multi-threaded programs.

**Categories and Subject Descriptors** D.2.4 [Software/Program Verification]: Formal methods; F.3.1 [Specifying and Verifying and Reasoning about Programs]: Mechanical verification; D.3.2 [Language Classifications]: Concurrent, distributed, and parallel languages; F.1.2 [Models of Computation]: Parallelism and concurrency

**General Terms** Algorithms, Reliability, Verification

**Keywords** Concurrency, Parallelism, Verification

## 1. Introduction

Despite the ever-increasing importance of concurrent software (e.g., for designing reactive applications, or parallelizing computation across multiple processor cores), concurrent programming and concurrent program analysis remain challenging endeavors. The most widely available facility for designing concurrent applications is *multithreading*, where concurrently executing sequential threads nondeterministically interleave their accesses to shared memory. Such nondeterminism leads to rarely-occurring “Heisenbugs” which are notoriously difficult to reproduce and repair. To prevent such bugs programmers are faced with the difficult task of preventing undesirable interleavings, e.g., by employing lock-based synchronization, without preventing benign interleavings—otherwise the desired reactivity or parallelism is forfeited.

<sup>\*</sup>Partially supported by the project ANR-09-SEGI-016 Veridyc.

<sup>†</sup>Supported by a post-doctoral fellowship from the Fondation Sciences Mathématiques de Paris.

<sup>0</sup>Proofs to technical results are contained in an extended online report [3].

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee.

POPL’12, January 25–27, 2012, Philadelphia, PA, USA.  
Copyright © 2012 ACM 978-1-4503-1083-3/12/01...\$10.00

The complexity of multi-threaded program analysis seems to comply with the perceived difficulty of multi-threaded programming. The state-reachability problem for multi-threaded programs is PSPACE-complete [21] with a finite number of finite-state threads, and undecidable [29] with recursive threads. Current analysis approaches either explore an underapproximate concurrent semantics by considering relatively few interleavings [9, 22] or explore a coarse overapproximate semantics via abstraction [13, 18].

Explicitly-parallel programming languages have been advocated to avoid the intricate interleavings implicit in program syntax [24], and several such industrial-strength languages have been developed [2, 6, 7, 17, 25, 30, 32]. Such systems introduce various mechanisms for creating (e.g., `fork`, `spawn`, `post`) and consuming (e.g., `join`, `sync`) concurrent computations, and either encourage (through recommended programming practices) or ensure (through static analyses or runtime systems) that parallel computations execute in isolation without interference from others, through data-partitioning [7], data-replication [6], functional programming [17], message passing [27], or version-based memory access models [32], perhaps falling back on transactional mechanisms [23] when complete isolation is impractical. Although few of these systems behave deterministically, consuming one concurrent computation at a time, many are sensitive to the order in which multiple isolated computations are consumed. Furthermore, some allow computations creating an unbounded number of sub-computations, returning to their superiors an unbounded number of handles to unfinished computations. Even without multithreaded interleaving, nondeterminism in the order in which an unbounded number of computations are consumed has the potential to make program reasoning complex.

In this work we investigate key questions on the analysis of interleaving-free programming models. Specifically, we ask to what extent such models simplify program reasoning, how those models compare with each other, and how to design appropriate analysis algorithms. We attempt to answer these questions as follows:

- We introduce a general interleaving-free parallel programming model on which to express the features found in popular parallel programming languages (Section 2).
- We discover a surprisingly-complex feature of some existing languages: even simple classes of programs with the ability to pass unfinished computations both to and from subordinate computations have undecidable state-reachability problems (Section 2.4).
- We show that the concurrency features present in many real-world programming languages such as Cilk, X10, and Multilisp are captured precisely (modulo the possibility of interleaving) by various fragments of our model (Sections 4 and 6).
- For fragments corresponding to real-world language features, we measure the complexity of computing state-reachability for finite-data programs, and provide, in most cases, asymptotically optimal state-reachability algorithms (Sections 5 and 7).

Our focus on finite-data programs without interleaving is a means to measuring complexity for the sake of comparison, required since state-reachability for infinite-data or multi-threaded programs is generally undecidable. Applying our algorithms in practice may rely on data abstraction [16], and separately ensuring isolation [23], or approximating possible interleavings [9, 13, 18, 22]; still, our handling of computation-order non-determinism is precise.

The major distinguishing language features are whether a single or an arbitrary number of subordinate computations are waited for at once, and whether the scope of subordinate computations is confined. Generally speaking, reasoning for the “single-wait” case of Section 4 is less difficult than for the “multi-wait” case of Section 6, and we demonstrate a range of complexities<sup>1</sup> from PTIME, NP, EXPSPACE, and 2EXPTIME for various scoping restrictions in Sections 5 and 7. Despite these worst-case complexities, a promising line of work has already demonstrated effective algorithms for practically-occurring EXPSPACE-complete state-reachability problem instances based on simultaneously computing iterative under- and over-approximations, and rapidly converging to a fixed point [15, 19].

We thus present a classification of concurrency constructs, connecting programming language features to fundamental formal models, which highlight the sources of concurrent complexity resulting from each feature, and provide a platform for comparing the difficulty of formal reasoning in each. We hope that these results may be used both to guide the design of impactful program analyses, as well as to guide the design and choice of languages appropriate for various programming problems.

## 2. Recursively Parallel Programs

We consider a simple concurrent programming model where computations are hierarchically divided into isolated parallelly executing tasks. Each task executes sequentially while maintaining *regions* (i.e., containers) of *handles* to other tasks. The initial task begins without task handles. When a task  $t$  creates a subordinate (child) task  $u$ ,  $t$  stores the handle to  $u$  in one of its regions, at which point  $t$  and  $u$  begin to execute in parallel. The task  $u$  may then recursively create additional parallel tasks, storing their handles in its own regions. At some later point when  $t$  requires the result computed by  $u$ ,  $t$  must *await* the completion of  $u$ —i.e., blocking until  $u$  has finished—at which point  $t$  consumes its handle to  $u$ . When  $u$  does complete, the value it returns is combined with the current state of  $t$  via a programmer-supplied *return-value handler*. In addition to creating and consuming subordinate tasks, tasks can transfer ownership of their subordinate tasks to newly-created tasks—by initially passing to the child a subset of task handles—and to their superiors upon completion—by finally passing to the parent unconsumed tasks.

This model permits vastly concurrent executions. Each task along with all the tasks it has created execute completely in parallel. As tasks can create tasks recursively, the total number of concurrently executing tasks has no bound, even when the number of handles stored by each task is bounded.

### 2.1 Program Syntax

Let Procs be a set of procedure names, Vals a set of values, Exprs a set of expressions, Regs a finite set of region identifiers, and Rets  $\subseteq (\text{Vals} \rightarrow \text{Stmts})$  a set of return-value handlers. The grammar of Figure 1 describes our language of *recursively parallel programs*. We intentionally leave the syntax of expressions  $e$  unspecified, though we do insist Vals contains **true** and **false**, and Exprs contains Vals and the (*nullary*) *choice operator*  $\star$ . We

$$\begin{aligned}
 P &::= (\text{proc } p \text{ (var } l: T) s)^* \\
 s &::= s; s \mid l := e \mid \text{skip} \mid \text{assume } e \\
 &\mid \text{if } e \text{ then } s \text{ else } s \mid \text{while } e \text{ do } s \\
 &\mid \text{call } l := p \ e \mid \text{return } e \\
 &\mid \text{post } r \leftarrow p \ e \ \vec{r} \ d \mid \text{await } r \mid \text{await } r
 \end{aligned}$$

**Figure 1.** The grammar of recursively parallel programs. Here  $T$  is an unspecified type,  $p$  ranges over procedure names,  $e$  over expressions,  $r$  over regions, and  $d$  over return-value handlers.

refer to the class of programs restricted to a finite set of values as *finite-value* programs, and to the class of programs restricted to at most  $n \in \mathbb{N}$  (resp., 1) region identifiers as  $n$ -*region* (resp., *single-region*) programs. A *sequential program* is a program without **post**, **await**, and **await** statements.

Each program  $P$  declares a sequence of procedures named  $p_0 \dots p_i \in \text{Procs}^*$ , each  $p$  having single type- $T$  parameter  $l$  and a top-level statement denoted  $s_p$ ; as statements are built inductively by composition with control-flow statements,  $s_p$  describes the entire body of  $p$ . The set of program statements  $s$  is denoted  $\text{Stmts}$ . Intuitively, a **post**  $r \leftarrow p \ e \ \vec{r} \ d$  statement stores the handle to a newly-created task executing procedure  $p$  in the region  $r$ ; besides the procedure argument  $e$ , the newly-created task is passed a subset of the parent’s task handles in regions  $\vec{r}$ , and a return-value handler  $d$ . The **await**  $r$  statement blocks execution until *some* task whose handle is stored in region  $r$  completes, at which point its return-value handler is executed. Similarly, the **await**  $r$  statement blocks execution until *all* tasks whose handles are stored in region  $r$  complete, at which point all of their return-value handlers are executed, in some order. We refer to the **call**, **return**, **post**, **await** and **await** as *inter-procedural statements*, and the others as *intra-procedural statements*, and insist that return-value handlers are comprised only of intra-procedural statements. The **assume**  $e$  statement proceeds only when  $e$  evaluates to **true**—we use this statement in subsequent sections to block undesired executions in our encodings of other parallel programming models.

**Example 1.** The Fibonacci function can be implemented as a single-region recursively parallel program as follows.

```

proc fib (var n: ℕ)
  var sum: ℕ
  if n < 2 then
    return 1
  else
    post r ← fib (n-1) ε (λv. sum := sum + v);
    post r ← fib (n-2) ε (λv. sum := sum + v);
    await r;
  return sum

```

Alternate implementations are possible, e.g., by replacing the **await** statement by two **await** statements, or storing the handles to the recursive calls in separate regions. Note that in this implementation task-handles are not passed to child tasks ( $\epsilon$  specifies the empty region sequence) nor to parent tasks (all handles are consumed by the **await** statement before returning).

The programming language we consider is simple yet expressive, since the syntax of types and expressions is left free, and we lose no generality by considering only a single variable per procedure.

### 2.2 Parallel Semantics with Task-Passing

Unlike recursive sequential programs, whose semantics is defined over *stacks* of procedure frames, the semantics of recursively parallel programs is defined over *trees* of procedure frames. Intuitively, the frame of each posted task becomes a child of the posting task’s frame. Each step of execution proceeds either by making a single

<sup>1</sup>In order to isolate concurrent complexity from the exponential factor in the number of program variables, we consider a fixed number of variables in each procedure frame; this allows us a PTIME point-of-reference for state-reachability in recursive sequential programs [31].

intra-procedural step of some frame in the tree, creating a new frame by posting a task, or removing a frame by consuming a completed task; unconsumed sub-task frames of a completed task are added as children to the completed task's parent.

A task  $\langle \ell, s, d \rangle$  is a valuation  $\ell \in \text{Vals}$  to the procedure-local variable  $\mathbf{l}$ , along with a statement  $s$  to be executed, and a return-value handler  $d \in \text{Rets}$ . (Here  $s$  describes the entire body of a procedure  $p$  that remains to be executed, and is initially set to  $p$ 's top-level statement  $s_p$ .) A *tree configuration*  $c$  is a finite unordered tree of task-labeled vertices and region-labeled edges, and the set of configurations is denoted  $\text{Configs}$ . Let  $\mathbb{M}[\text{Configs}]$  denote the set of configuration multisets. We represent configurations inductively, writing  $\langle t, m \rangle$  for the tree with  $t$ -labeled root whose child subtrees are given by a *region valuation*  $m : \text{Regs} \rightarrow \mathbb{M}[\text{Configs}]$ : for  $r \in \text{Regs}$ , the multiset  $m(r)$  specifies the collection of subtrees connected to the root of  $\langle t, m \rangle$  by an  $r$ -edge. The *initial region valuation*  $m_\emptyset$  is defined by  $m_\emptyset(r) \stackrel{\text{def}}{=} \emptyset$  for all  $r \in \text{Regs}$ . The singleton region valuation  $(r \mapsto c)$  maps  $r$  to  $\{c\}$ , and  $r' \in \text{Regs} \setminus \{r\}$  to  $\emptyset$ , and the union  $m_1 \cup m_2$  of region valuations is defined by the multiset union of each valuation:  $(m_1 \cup m_2)(r) \stackrel{\text{def}}{=} m_1(r) \cup m_2(r)$  for all  $r \in \text{Regs}$ . The projection  $m \upharpoonright_{\vec{r}}$  of a region valuation  $m$  to a region sequence  $\vec{r}$  is defined by  $m \upharpoonright_{\vec{r}}(r') = m(r')$  when  $r'$  occurs in  $\vec{r}$ , and  $m \upharpoonright_{\vec{r}}(r') = \emptyset$  otherwise.

For expressions without program variables, we assume the existence of an evaluation function  $\llbracket \cdot \rrbracket_e : \text{Exprs} \rightarrow \wp(\text{Vals})$  such that  $\llbracket \star \rrbracket_e = \text{Vals}$ . For convenience, we define

$$e(\langle \ell, s, d \rangle) \stackrel{\text{def}}{=} e(\ell) \stackrel{\text{def}}{=} \llbracket e[\ell/\mathbf{l}] \rrbracket_e$$

—as  $\mathbf{l}$  is the only variable, the expression  $e[\ell/\mathbf{l}]$  has no free variables.

To reduce clutter and focus on the relevant parts of transition rules in the program semantics, we introduce a notion of contexts. A *configuration context*  $C$  is a tree with a single  $\diamond$ -labeled leaf, task-labeled vertices and leaves otherwise, and region-labeled edges. We write  $C[c]$  for the configuration obtained by substituting a configuration  $c$  for the unique  $\diamond$ -labeled leaf of  $C$ . We use configuration contexts to isolate individual task transitions, writing, for instance  $C[\langle t, m \rangle] \rightarrow C[\langle t', m \rangle]$  to indicate an intra-procedural transition of the task  $t$ . Similarly a *statement context*  $S = \diamond; s_1; \dots; s_i$  is a  $\diamond$ -led sequence of statements, and we write  $S[s_0]$  for the statement obtained by substituting a statement  $s_0$  for the unique occurrence of  $\diamond$  as the first symbol of  $S$ , indicating that  $s_0$  is the next-to-be-executed statement. A *task-statement context*  $T = \langle \ell, S, d \rangle$  is a task with a statement context  $S$  in place of a statement, and we write  $T[s]$  to indicate that  $s$  is the next statement to be executed in the task  $\langle \ell, S[s], d \rangle$ . Finally, we write  $C[\langle T[s_1], m \rangle] \rightarrow C[\langle T[s_2], m' \rangle]$  to denote a transition of a task executing a statement  $s_1$  and replacing  $s_1$  by  $s_2$ —normally  $s_2$  is the **skip** statement. Since the current statement  $s$  of a task  $T[s]$  does not effect expression evaluation, we liberally write  $e(T)$  to denote the evaluation  $e(T[s])$ .

We say a task  $t = \langle \ell, S[s], d \rangle$  is *completed* when its next-to-be-executed statement  $s$  is **return**  $e$ , in which case we define  $\text{rvh}(t) \stackrel{\text{def}}{=} \{d(v) : v \in e(\ell)\}$  as the set of possible return-value handler statements for  $t$ ;  $\text{rvh}(t)$  is undefined when  $t$  is not completed.

Figure 2 and Figure 3 define the transition relation  $\rightarrow^{\text{rpp/p}}$  of recursively parallel programs as a set of operational steps on configurations. The intra-procedural transitions  $\rightarrow^{\text{seq}}$  of individual tasks in Figure 2 are standard. More interesting are the inter-procedural transitions of Figure 3, which implicitly include a transition  $C[\langle t_1, m \rangle] \rightarrow_P^{\text{rpp/p}} C[\langle t_2, m \rangle]$  whenever  $t_1 \rightarrow_P^{\text{seq}} t_2$ . The POST-T rule creates a procedure frame to execute in parallel, and links it to the current frame by the given region, passing ownership of tasks in the specified region sequence to the newly-created frame. The  $\exists$ WAIT-T rule consumes the result of a single child frame in the given region, and applies the return-value handler to update the parent frame's local valuation. Similarly, the  $\forall$ WAIT-

$$\begin{array}{c} \text{POST-T} \\ \frac{v \in e(T) \quad m' = m \setminus m \upharpoonright_{\vec{r}} \cup (r \mapsto \langle \langle v, s_p, d \rangle, m \upharpoonright_{\vec{r}} \rangle)}{C[\langle T[\mathbf{post} \ r \leftarrow p \ e \ \vec{r} \ d], m \rangle] \xrightarrow{P} C[\langle T[\mathbf{skip}], m' \rangle]} \\ \\ \exists \text{WAIT-T} \\ \frac{\exists m_1 = (r \mapsto \langle t_2, m_2 \rangle) \cup m'_1 \quad s \in \text{rvh}(t_2)}{C[\langle T_1[\mathbf{ewait} \ r], m_1 \rangle] \xrightarrow{P} C[\langle T_1[s], m'_1 \cup m_2 \rangle]} \\ \\ \forall \text{WAIT-NEXT-T} \\ \frac{\exists m_1 = (r \mapsto \langle t_2, m_2 \rangle) \cup m'_1 \quad s \in \text{rvh}(t_2)}{C[\langle T_1[\mathbf{await} \ r], m_1 \rangle] \xrightarrow{P} C[\langle T_1[s; \ \mathbf{await} \ r], m'_1 \cup m_2 \rangle]} \\ \\ \forall \text{WAIT-DONE-T} \\ \frac{m(r) = \emptyset}{C[\langle T[\mathbf{await} \ r], m \rangle] \xrightarrow{P} C[\langle T[\mathbf{skip}], m \rangle]} \end{array}$$

**Figure 3.** The tree-based transition relation for parallelly-executing recursively parallel programs with task-passing.

NEXT-T and  $\forall$ WAIT-DONE-T rules consume the results of every child frame in the given region, applying their return handlers in the order they are consumed. The semantics of **call** statements reduces to that of **post** and **ewait**: supposing an unused region identifier  $\mathbf{r}_{\text{call}}$ , we translate each statement **call**  $\mathbf{l} := p \ e$  into the sequence

**post**  $\mathbf{r}_{\text{call}} \leftarrow p \ e \ \varepsilon \ \mathbf{d}_{\text{call}};$   
**ewait**  $\mathbf{r}_{\text{call}},$

where  $\mathbf{d}_{\text{call}}(v) \stackrel{\text{def}}{=} \mathbf{l} := v$  is the return-value handler which simply writes the entire return value  $v$  into the local variable  $\mathbf{l}$ , and  $\varepsilon$  denotes an empty sequence of region identifiers.

A *parallel execution of a program*  $P$  (from  $c_0$  to  $c_j$ ) is a configuration sequence  $c_0 c_1 \dots c_j$  where  $c_i \xrightarrow{P}^{\text{rpp/p}} c_{i+1}$  for  $0 \leq i < j$ . An initial condition  $\iota = \langle p_0, \ell_0 \rangle$  is a procedure  $p_0 \in \text{Procs}$  along with a value  $\ell_0 \in \text{Vals}$ . A configuration  $\langle \langle \ell_0, s, d \rangle, m_\emptyset \rangle$  is called  $\langle p_0, \ell_0 \rangle$ -initial when  $s$  is the top-level statement of  $p_0$ . A configuration  $c_f$  is called  $\ell_f$ -final when there exists a context  $C$  such that  $c_f = C[\langle t, m \rangle]$  and  $\mathbf{l}(t) = \ell_f$ . We say a valuation  $\ell$  is *reachable in*  $P$  from  $\iota$  when there exists an execution of  $P$  from some  $c_0$  to  $c_f$ , where  $c_0$  is  $\iota$ -initial and  $c_f$  is  $\ell$ -final.

**Problem 1** (State-Reachability). *The state-reachability problem is to determine, given an initial condition  $\iota$  of a program  $P$  and a valuation  $\ell$ , whether  $\ell$  is reachable in  $P$  from  $\iota$ .*

### 2.3 Sequential Semantics with Task-Passing

Since tasks only exchange values at creation and completion-time, the order in which concurrently-executing tasks make execution steps does not affect computed program values. In this section we leverage this fact and focus on a particular execution order in which at any moment only a single task is enabled. When the currently enabled task encounters and **ewait/await** statement, suspending execution to wait for a subordinate task  $t$ ,  $t$  becomes the currently-enabled task; when  $t$  completes, control returns to its waiting parent. At any moment only the tasks along one path  $\rho$  in the configuration tree have ever been enabled, and all but the last task in  $\rho$  are waiting for their child in  $\rho$  to complete. We encode this execution order into an equivalent stack-based operational semantics, which essentially transforms recursively parallel programs into sequential programs with an unbounded auxiliary storage device used to store subordinate tasks. We interpret the **ewait** and **await** statements as procedure calls which compute the values returned by previously-posted tasks.

<b>SKIP</b> $\frac{}{T[\mathbf{skip}; s] \xrightarrow[P]{\text{seq}} T[s]}$	<b>ASSUME</b> $\frac{\mathbf{true} \in e(T)}{T[\mathbf{assume} e] \xrightarrow[P]{\text{seq}} T[\mathbf{skip}]}$	<b>IF-THEN</b> $\frac{\mathbf{true} \in e(T)}{T[\mathbf{if} e \mathbf{then} s_1 \mathbf{else} s_2] \xrightarrow[P]{\text{seq}} T[s_1]}$	<b>IF-ELSE</b> $\frac{\mathbf{false} \in e(T)}{T[\mathbf{if} e \mathbf{then} s_1 \mathbf{else} s_2] \xrightarrow[P]{\text{seq}} T[s_2]}$
<b>ASSIGN</b> $\frac{\ell' \in e(\ell)}{\langle \ell, S[1 := e], d \rangle \xrightarrow[P]{\text{seq}} \langle \ell', S[\mathbf{skip}], d \rangle}$	<b>LOOP-DO</b> $\frac{\mathbf{true} \in e(T)}{T[\mathbf{while} e \mathbf{do} s] \xrightarrow[P]{\text{seq}} T[s; \mathbf{while} e \mathbf{do} s]}$	<b>LOOP-END</b> $\frac{\mathbf{false} \in e(T)}{T[\mathbf{while} e \mathbf{do} s] \xrightarrow[P]{\text{seq}} T[\mathbf{skip}]}$	

**Figure 2.** The intra-procedural transition relation for recursively parallel programs.

<b>POST-S</b> $\frac{v \in e(T) \quad m' = m \setminus m _{\bar{r}} \cup (r \mapsto \langle \langle v, s_p, d \rangle, m _{\bar{r}} \rangle)}{\langle T[\mathbf{post} r \leftarrow p e \bar{r} d], m \rangle c \xrightarrow[P]{\text{rpp/s}} \langle T[\mathbf{skip}], m' \rangle c}$
$\frac{\exists \text{WAIT-S} \quad m = (r \mapsto c_0) \cup m'}{\langle T[\mathbf{ewait} r], m \rangle c \xrightarrow[P]{\text{rpp/s}} c_0 \langle T[\mathbf{skip}], m' \rangle c}$
$\frac{\forall \text{WAIT-NEXT-S} \quad m = (r \mapsto c_0) \cup m'}{\langle T[\mathbf{await} r], m \rangle c \xrightarrow[P]{\text{rpp/s}} c_0 \langle T[\mathbf{skip}; \mathbf{await} r], m' \rangle c}$
$\frac{\forall \text{WAIT-DONE-S} \quad m(r) = \emptyset}{\langle T[\mathbf{await} r], m \rangle c \xrightarrow[P]{\text{rpp/s}} \langle T[\mathbf{skip}], m \rangle c}$
<b>RETURN-S</b> $\frac{s \in \text{rvh}(t_1)}{\langle t_1, m_1 \rangle \langle T_2[\mathbf{skip}], m_2 \rangle c \xrightarrow[P]{\text{rpp/s}} \langle T_2[s], m_1 \cup m_2 \rangle c}$

**Figure 4.** The stack-based transition relation for sequentially-executing recursively parallel programs with task-passing.

We define a *frame* to be a configuration in the sense of the tree-based semantics of Section 2.2, i.e., a finite unordered tree of task-labeled vertices and region-labeled edges. (Here all non-root nodes in the tree are posted tasks that have yet to take a single step of execution.) In our stack-based semantics, a *stack configuration*  $c$  is a sequence of frames, representing a procedure activation stack.

Figures 2 and 4 define the sequential transition relation  $\rightarrow^{\text{rpp/s}}$  of recursively parallel programs as a set of operational steps on configurations. The inter-procedural transitions of Figure 4 implicitly include a transition  $\langle t_1, m \rangle c \rightarrow_P^{\text{rpp/s}} \langle t_2, m \rangle c$  whenever  $t_1 \rightarrow_P^{\text{seq}} t_2$ . Interesting here are the rules for **ewait** and **await**. The  $\exists \text{WAIT-S}$  rule blocks the currently executing frame to obtain the result for a single, nondeterministically chosen, frame  $c_0$  in the given region, by pushing  $c_0$  onto the activation stack. Similarly, the  $\forall \text{WAIT-NEXT-S}$  and  $\forall \text{WAIT-DONE-S}$  rules block the currently executing frame to obtain the results for every task in the given region, in a nondeterministically-chosen order. Finally, the **RETURN-S** applies a completed task’s return-value handler to update the parent frame’s local valuation. The definitions of *sequential execution*, *initial*, and *reachable* are nearly identical to their parallel counterparts.

**Lemma 1.** *The parallel semantics and the sequential semantics are indistinguishable w.r.t. state reachability, i.e., for all initial conditions  $\iota$  of a program  $P$ , the valuation  $\ell$  is reachable in  $P$  from  $\iota$  by a parallel execution if and only if  $\ell$  is reachable in  $P$  from  $\iota$  by a sequential execution.*

## 2.4 Undecidability of State-Reachability with Task-Passing

Recursively parallel programs allow pending tasks to be passed *bidirectionally*: both from completed tasks and to newly-created tasks. This capability makes the state-reachability problem undecidable—even for the very simple cases recursive programs with at least one region, and for non-recursive programs with at least two regions. Essentially, when pending tasks can be passed to newly-created tasks, it becomes possible to construct and manipulate unbounded task-chains by keeping a handle to most-recently created task, after having passed the handle of the previously-most-recently created task to the most-recently created task. We can then show that such unbounded chains of pending tasks can be used to simulate an arbitrary unbounded and ordered storage device.

**Definition 1** (Task passing). A program which contains a statement **post**  $r \leftarrow p e \bar{r} d$ , such that  $|\bar{r}| > 0$  is called *task-passing*.

The *task-depth* of a program  $P$  is the maximum length of a sequence  $p_1 \dots p_i$  of procedures in  $P$  such that each  $p_j$  contains a statement **post**  $r \leftarrow p_{i+j} e \bar{r} d$ , for  $0 < j < i$ , and some  $r \in \text{Regs}$ ,  $e \in \text{Exprs}$ ,  $\bar{r} \in \text{Regs}^*$ , and  $d \in \text{Rets}$ . Programs with unbounded task-depth are *recursive*, and are otherwise *non-recursive*.

**Theorem 1.** *The state-reachability problem for  $n$ -region finite-value task-passing parallel programs is undecidable for*

- (a) *non-recursive programs with  $n > 1$ , and*
- (b) *recursive programs with  $n > 0$ .*

The proof of Theorem 1 is given by two separate reductions from the emptiness problem for Turing machines to “single-wait” programs, i.e., those using **ewait** statements but not **await** statements. In essence, as each task-handle can point to an unbounded chain of task-handles, we can construct an unbounded Turing machine tape by using one task-chain to store the contents of cells to the left of the tape head, and another chain to store the contents of cells to the right of the tape head. If only one region is granted but recursion is allowed (i.e., as in (b)), we can still construct the tape using the task-chain for the cells right of the tape head, while using the (unbounded) procedure-stack to store the cells left of the head. When only one region is granted and recursion is not allowed, neither of these reductions work. Without recursion we can bound the procedure stack, and then we can show that single-stack machine suffices to encode the single unbounded chain of tasks.

## 3. Programs without Task Passing

Due to the undecidability result of Theorem 1 and our desire to compare the analysis complexities of parallel programming models, we consider, henceforth, unless otherwise specified, only non-task-passing programs, simplifying program syntax by writing **post**  $r \leftarrow p e d$ . When task-passing is not allowed, region valuations need not store an entire configuration for each newly-posted task, since the posted task’s initial region valuation is empty. As this represents a significant simplification on which our subsequent analysis results rely, we redefine here a few key notions.

$$\begin{array}{c}
\text{POST} \\
\frac{v \in e(T) \quad m' = m \cup (r \mapsto \langle v, s_p, d \rangle)}{\langle T[\mathbf{post} \ r \leftarrow p \ e \ d], m \rangle c \xrightarrow[\text{P}]{\text{rpp}} \langle T[\mathbf{skip}], m' \rangle c} \\
\\
\exists \text{WAIT} \\
\frac{m = (r \mapsto t_2) \cup m'}{\langle T_1[\mathbf{ewait} \ r], m \rangle c \xrightarrow[\text{P}]{\text{rpp}} \langle t_2, \emptyset \rangle \langle T_1[\mathbf{skip}], m' \rangle c} \\
\\
\forall \text{WAIT-NEXT} \\
\frac{m = (r \mapsto t_2) \cup m'}{\langle T_1[\mathbf{await} \ r], m \rangle c \xrightarrow[\text{P}]{\text{rpp}} \langle t_2, \emptyset \rangle \langle T_1[\mathbf{skip}; \ \mathbf{await} \ r], m' \rangle c} \\
\\
\forall \text{WAIT-DONE} \\
\frac{m(r) = \emptyset}{\langle T[\mathbf{await} \ r], m \rangle c \xrightarrow[\text{P}]{\text{rpp}} \langle T[\mathbf{skip}], m \rangle c} \\
\\
\text{RETURN} \\
\frac{s \in \text{rvh}(t_1)}{\langle t_1, m_1 \rangle \langle T_2[\mathbf{skip}], m_2 \rangle c \xrightarrow[\text{P}]{\text{rpp}} \langle T_2[s], m_1 \cup m_2 \rangle c}
\end{array}$$

**Figure 5.** The stack-based transition relation for sequentially-executing recursively parallel programs without task-passing.

### 3.1 Sequential Semantics without Task-Passing

A *region valuation* is a (non-nested) mapping  $m : \text{Regs} \rightarrow \mathbb{M}[\text{Tasks}]$  from regions to multisets of tasks, a *frame*  $\langle t, m \rangle$  is a task  $t \in \text{Tasks}$  paired with a region valuation  $m$ , and a *configuration*  $c$  is a sequence of frames representing a procedure activation stack. The transition relation  $\rightarrow^{\text{rpp}}$  of Figures 2 and 5 implicitly include a transition  $\langle t_1, m \rangle c \rightarrow_P^{\text{rpp}} \langle t_2, m \rangle c$  whenever  $t_1 \xrightarrow_P^{\text{seq}} t_2$ . The definitions of *sequential execution*, *initial*, and *reachable* are nearly identical to their task-passing parallel and sequential counterparts. Since pending tasks need not store initial region-valuations in non-task-passing programs, this simpler semantics is equivalent to the previous stack-based semantics.

**Lemma 2.** *For all initial conditions  $\iota$  non-task-passing programs  $P$ , the valuation  $\ell$  is reachable in  $P$  from  $\iota$  by a sequential execution with task-passing if and only if  $\ell$  is reachable in  $P$  from  $\iota$  by a sequential execution without task-passing.*

Even with this simplification, we do not presently know whether the state-reachability problem for (finite-value) recursively parallel programs is decidable in general. In the following sections, we identify several decidable, and in some cases tractable, restrictions to the program model which correspond to the concurrency mechanisms found in real-world parallel programming languages.

### 3.2 Recursive Vector Addition Systems with Zero-Test Edges

Fix  $k \in \mathbb{N}$ . A *recursive vector addition system (RVASS)*  $\mathcal{A} = \langle Q, \delta \rangle$  of dimension  $k$  is a finite set  $Q$  of states, along with a finite set  $\delta = \delta_1 \uplus \delta_2 \uplus \delta_3$  of transitions partitioned into *additive* transitions  $\delta_1 \subseteq Q \times \mathbb{N}^k \times \mathbb{N}^k \times Q$ , *recursive* transitions  $\delta_2 \subseteq Q \times Q \times Q \times Q$ , and *zero-test* transitions  $\delta_3 \subseteq Q \times Q$ . We write

$$\begin{array}{ll}
q \xrightarrow{\vec{n}_1 \vec{n}_2} q' & \text{when } \langle q, \vec{n}_1, \vec{n}_2, q' \rangle \in \delta_1, \text{ and} \\
q \xrightarrow{q_1 q_2} q' & \text{when } \langle q, q_1, q_2, q' \rangle \in \delta_2. \\
q \hookrightarrow q' & \text{when } \langle q, q' \rangle \in \delta_3.
\end{array}$$

A (non-recursive) *vector addition system (with states) (VASS)* is a recursive vector addition system  $\langle Q, \delta \rangle$  such that  $\delta$  contains only additive transitions.

An (RVASS) *frame*  $\langle q, \vec{n} \rangle$  is a state  $q \in Q$  along with a vector  $\vec{n} \in \mathbb{N}^k$ , and an (RVASS) *configuration*  $c \in (Q \times \mathbb{N}^k)^+$  is a non-empty sequence of frames representing a stack of non-recursive sub-computations. The transition relation  $\rightarrow^{\text{rvass}}$  for recursive vector addition systems is defined in Figure 6. The ADDITIVE rule updates the top frame  $\langle q, \vec{n} \rangle$  by subtracting the vector  $\vec{n}_1$  from  $\vec{n}$ , adding the vector  $\vec{n}_2$  to the result, and updating the control state to  $q'$ . The CALL rule pushes on the frame-stack a new frame  $\langle q_1, \mathbf{0} \rangle$  from which the RETURN rule will eventually pop at some point when the control state is  $q_2$ ; when this happens, the vector  $\vec{n}_1$  of the popped frame is added to the vector  $\vec{n}_2$  of the frame below. We describe an application of the CALL (resp., RETURN) rule as a *call* (resp., *return*) *transition*. Finally, the ZERO rule proceeds only when the top-most frame's vector equals  $\mathbf{0}$ .

An *execution of a RVASS*  $\mathcal{A}$  (from  $c_0$  to  $c_j$ ) is a configuration sequence  $c_0 c_1 \dots c_j$  where  $c_i \xrightarrow{\text{rvass}} c_{i+1}$  for  $0 \leq i < j$ . A configuration  $\langle q, \vec{n} \rangle$  is called *q<sub>0</sub>-initial* when  $q = q_0$  and  $\vec{n} = \mathbf{0}$ , and a configuration  $c_f$  is called *q<sub>f</sub>-final* when  $c_f = \langle q_f, \vec{n} \rangle c$  for some configuration  $c$  and  $\vec{n} \in \mathbb{N}^k$ . We say a state  $q_f$  is *reachable in*  $\mathcal{A}$  from  $q_0$  when there exists an execution of  $\mathcal{A}$  from some  $q_0$ -initial configuration  $c_0$  to some  $q_f$ -final configuration  $c_f$ . The *state-reachability problem* for recursive vector addition systems is to determine whether a given state  $q$  is reachable from some  $q_0$ .

Recently Demri et al. [8] have proved that state-reachability in branching vector addition systems (BVAS)—a very similar formal model to which RVASS reduces—is in 2EXPTIME. This immediately gives us an upper-bound on computing state-reachability in RVASS without zero-test edges. Though state-reachability in non-recursive systems is EXPSPACE-complete [26, 28], for the moment, we do not know matching upper and lower bounds for RVASS.

**Lemma 3.** *The state-reachability problem for recursive (resp., non-recursive) vector addition systems without zero-test edges is EXPSPACE-hard, and in 2EXPTIME (resp., EXPSPACE).*

### 3.3 Encoding Recursively Parallel Programs as RVASSes

When the value set Vals of a given program  $P$  is taken to be finite, the set Tasks also becomes finite since there are finitely many statements and return-value handlers occurring in  $P$ . As finite-domain multisets are equivalently encoded with a finite number of counters (i.e., one counter per element), we can encode each region valuation  $m \in \text{Regs} \rightarrow \mathbb{M}[\text{Tasks}]$  by a vector  $\vec{n} \in \mathbb{N}^k$  of counters, where  $k = |\text{Regs} \times \text{Tasks}|$ . To clarify the correspondence, we fix an enumeration  $\text{cn} : \text{Regs} \times \text{Tasks} \rightarrow \{1, \dots, k\}$ , and associate each region valuation  $m$  with a vector  $\vec{n}$  such that for all  $r \in \text{Regs}$  and  $t \in \text{Tasks}$ ,  $m(r)(t) = \vec{n}(\text{cn}(r, t))$ . Let  $\vec{n}_i$  denote the unit vector of dimension  $i$ , i.e.,  $\vec{n}_i(i) = 1$  and  $\vec{n}_i(j) = 0$  for  $j \neq i$ .

Given a finite-data recursively parallel program  $P$  without task-passing, we associate a corresponding recursive vector addition system  $\mathcal{A}_P = \langle Q, \delta \rangle$ . We define  $Q \stackrel{\text{def}}{=} \text{Tasks} \cup \text{Tasks}^3$ , and define  $\delta$  formally in Figure 7. Intra-procedural transitions translate directly to additive transitions. The call statements are handled by recursive transitions between entry and exit points  $t_0$  and  $t_f$  of the called procedure. The post statements are handled by additive transitions that increment the counter corresponding to a region-task pair. The await statements are handled in two steps: first an additive transition decrements the counter corresponding to region-task pair  $\langle r, t_0 \rangle$ , then a recursive transition between entry and exit points  $t_0$  and  $t_f$  of the corresponding procedure is made, applying the return-value handler of  $t_f$  upon the return. (Here we use an intermediate state  $\langle T[\mathbf{skip}], t_0, t_f \rangle \in Q$  to connect the two transitions, in order to differentiate the intermediate steps of other await transitions.) The await statements are handled similarly, except the await statement must be repeated again upon the return. Finally, a zero-test transition allows  $\mathcal{A}_P$  to eventually step past each await statement.

$$\begin{array}{c}
\text{ADDITIVE} \\
\frac{q \xrightarrow{\vec{n}_1 \vec{n}_2} q' \quad \vec{n} \geq \vec{n}_1}{\langle q, \vec{n} \rangle c \xrightarrow{\text{rvas}} \langle q', \vec{n} \ominus \vec{n}_1 \oplus \vec{n}_2 \rangle c} \\
\text{CALL} \\
\frac{q \xrightarrow{q_1 q_2} q'}{\langle q, \vec{n} \rangle c \xrightarrow{\text{rvas}} \langle q_1, \mathbf{0} \rangle \langle q, \vec{n} \rangle c} \\
\text{RETURN} \\
\frac{q \xrightarrow{q_1 q_2} q'}{\langle q_2, \vec{n}_1 \rangle \langle q, \vec{n}_2 \rangle c \xrightarrow{\text{rvas}} \langle q', \vec{n}_1 \oplus \vec{n}_2 \rangle c} \\
\text{ZERO} \\
\frac{q \xrightarrow{} q'}{\langle q, \mathbf{0} \rangle c \xrightarrow{\text{rvas}} \langle q', \mathbf{0} \rangle c}
\end{array}$$

**Figure 6.** The transition relation for recursive vector addition systems. To simplify presentation, we assume that there is at most one recursive transition originating from each state, i.e., for all  $q \in Q$ ,  $|\delta_2 \cap (\{q\} \times Q^3)| \leq 1$ . We denote by  $\mathbf{0}$  the vector  $\langle 0, 0, \dots, 0 \rangle$ , and by  $\oplus$  and  $\ominus$  the usual vector addition and subtraction operators.

$$\begin{array}{c}
\frac{v_0 \in e(T) \quad i = \text{cn}(r, \langle v_0, s_p, d \rangle)}{T[\text{post } r \leftarrow p e d] \xrightarrow{\mathbf{0} \vec{n}_i} T[\text{skip}]} \quad T[\text{await } r] \xrightarrow{} T[\text{skip}] \\
\frac{v_0 \in e(T) \quad t_0 = \langle v_0, s_p, d_{\text{call}} \rangle \quad (1 := v_f) \in \text{rvh}(t_f)}{T[\text{call } 1 := p e] \xrightarrow{t_0 t_f} T[1 := v_f]} \\
\frac{t_1 \xrightarrow{\text{seq}_P} t_2 \quad i = \text{cn}(r, t_0) \quad s \in \text{rvh}(t_f)}{t_1 \xrightarrow{\mathbf{0} \vec{n}_i} t_2 \quad T[\text{await } r] \xrightarrow{\vec{n}_i \mathbf{0}} \langle T[\text{skip}], t_0, t_f \rangle \xrightarrow{t_0 t_f} T[s]} \\
\frac{i = \text{cn}(r, t_0) \quad s \in \text{rvh}(t_f)}{T[\text{await } r] \xrightarrow{\vec{n}_i \mathbf{0}} \langle T[\text{skip}], t_0, t_f \rangle \xrightarrow{t_0 t_f} T[s; \text{await } r]}
\end{array}$$

**Figure 7.** The transitions of the RVASS  $\mathcal{A}_P$  encoding the behavior of a finite-data recursively parallel program  $P$ .

Notice that ignoring intermediate states  $\langle t_1, t_2, t_3 \rangle \in Q$ , the frames  $\langle t, \vec{n} \rangle$  of  $\mathcal{A}_P$  correspond directly to frames  $\langle t, m \rangle$  of the given program  $P$ , given the correspondence between vectors and region valuations. This correspondence between frames indeed extends to configurations, and ultimately to the state-reachability problems between  $\mathcal{A}_P$  and  $P$ .

**Lemma 4.** *For all programs  $P$  without task-passing, procedures  $p_0 \in \text{Procs}$ , and values  $\ell_0, \ell \in \text{Vals}$ ,  $\ell$  is reachable from  $\langle \ell_0, p_0 \rangle$  in  $P$  if and only if there exist  $s \in \text{Stmts}$  and  $d_0, d \in \text{Rets}$  such that  $\langle \ell, s, d \rangle$  is reachable from  $\langle \ell_0, s_{p_0}, d_0 \rangle$  in  $\mathcal{A}_P$ .*

Our analysis algorithms in the following sections use Lemma 4 to compute state-reachability of a program  $P$  without task-passing by computing state-reachability on the corresponding RVASS  $\mathcal{A}_P$ . In general, our algorithms compute sets of region valuation vectors

$$\text{sms}(t_0, t_f, P) \stackrel{\text{def}}{=} \{ \vec{n} : \langle t_0, \mathbf{0} \rangle \xrightarrow[\mathcal{A}_P]{\text{rvas}} * \langle t_f, \vec{n} \rangle \},$$

summarizing the execution of a procedure between an entry point  $t_0$  and exit point  $t_f$ , where we write  $\xrightarrow[\mathcal{A}_P]{\text{rvas}} *$  to denote zero or more applications of  $\xrightarrow[\mathcal{A}_P]{\text{rvas}}$ . Given an effective way to compute such a function, we could systematically replace inter-procedural program steps (i.e., of the **call**, **await**, and **await** statements) with intra-procedural edges performing their net effect. Note however that even if the set of tasks is finite, the set  $\text{sms}(t_0, t_f, \mathcal{A}_P)$  of summaries between  $t_0$  and  $t_f$  need not be finite; the ability to compute this set is thus the key to our summarization-based algorithms in the following sections.

## 4. Single-Wait Programs

**Definition 2** (Single wait). A *single-wait program* is a program which does not contain the **await** statement.

Single-wait programs can wait only for a single pending task at any program point. Many parallel programming constructs can be modeled as single-wait programs.

### 4.1 Parallel Programming with Futures

The **future** annotation of Multilisp [17] has become a widely adopted parallel programming construct, included, for example, in X10 [7] and in Leijen et al. [25]’s task parallel library. Flanagan and Felleisen [12] provide a principled description of its semantics. The future construct leverages the procedural program structure for parallelism, essentially adding a “lazy” procedure call which immediately returns control to the caller with a placeholder for a value that may not yet have been computed, along with an operation for ensuring that a given placeholder has been filled in with a computed value. Syntactically, futures add two statements,

$$\text{future } x := p e \quad \text{touch } x,$$

where  $x$  ranges over program variables,  $p \in \text{Procs}$ , and  $e \in \text{Exprs}$ . Though it is not necessarily present in the syntax of a source language with futures, we assume every use of a variable assigned by a **future** statement is explicitly preceded by a **touch** statement. Semantically, the **future** statement creates a new process in which to execute the given procedure, which proceeds to execute in parallel with the caller—and all other processes created in this way. The **touch** statement on a variable  $x$  blocks execution of the current procedure until the future procedure call which assigned to  $x$  completes, returning a value with which is copied into  $x$ . Even though each procedure can only spawn a bounded number of parallel processes—i.e., one per program variable—there is in general no bound on the total number of parallelly-executing processes, since procedure calls—even parallel ones—are recursive.

**Example 2.** The Fibonacci function can be implemented as a parallel algorithm using futures as follows.

```

proc fib (var n: ℕ)
  var x, y: ℕ
  if n < 2 then
    return 1
  else
    future x := fib (n-1);
    future y := fib (n-2);
    touch x;
    touch y;
    return x + y

```

As opposed to the usual (naïve) sequential implementation operating in time  $\mathcal{O}(n^2)$ , this parallel implementation runs in time  $\mathcal{O}(n)$ .

The semantics of futures is readily expressed with task-passing programs using the **post** and **await** statements. Assuming a region identifier  $r_x$  and return handler  $d_x$  for each program variable  $x$ , we encode

$$\begin{array}{ll}
\text{future } x := p e & \text{as } \text{post } r_x \leftarrow p e \vec{r} d_x \\
\text{touch } x & \text{as } \text{await } r_x
\end{array}$$

where  $d_x(v) \stackrel{\text{def}}{=} x := v$  simply assigns the return value  $v$  to the variable  $x$ , and the vector  $\vec{r}$  contains each  $r_y$  such that the variable  $y$  appears in  $e$ .

## 4.2 Parallel Programming with Revisions

Burckhardt et al. [6]’s revisions model of concurrent programming proposes a mechanism analogous to (software) version control systems such as CVS and subversion, which promises to naturally and easily parallelize sequential code in order to take advantage of multiple computing cores. There, each sequentially executing process is referred to as a *revision*. A revision can branch into two revisions, each continuing to execute in parallel on their own separate copies of data, or merge a previously-created revision, provided a programmer-defined *merge function* to mitigate the updates to data which each have performed. Syntactically, revisions add two statements,

$$x := \mathbf{rfork} \ s \quad \mathbf{join} \ x,$$

where  $x$  ranges over program variables, and  $s \in \text{Stmts}$ . Semantically, the **rfork** statement creates a new process to execute the given statement, which proceeds to execute in parallel with the invoker—and all other processes created in this way. The assignment stores a *handle* to the newly-created revision in a *revision variable*  $x$ . The **join** statement on a revision variable  $x$  blocks execution of the current revision until the revision whose handle is stored in  $x$  completes; at that point the current revision’s data is updated according to a programmer-supplied merge function  $m : (\text{Vals} \times \text{Vals} \times \text{Vals}) \rightarrow \text{Vals}$ : when  $v_0, v_1$  are, resp., the initial and final data values of the merged revision, and  $v_2$  is the current data value of the current revision, the current revisions data value is updated to  $m(v_0, v_1, v_2)$ .

The semantics of revisions is readily expressed with task-passing programs using the **post** and **await** statements. Assuming a region identifier  $r_x$  for each program variable  $x$ , and a programmer-supplied merge function  $m$ , we encode

$$\begin{array}{ll} x := \mathbf{rfork} \ s & \text{as} \quad \mathbf{post} \ r_x \leftarrow p_s \ \mathbf{1} \ \vec{r} \ \mathbf{d} \\ \mathbf{join} \ x & \text{as} \quad \mathbf{await} \ r_x \end{array}$$

where  $p_s$  is a procedure declared as

```

proc  $p_s$  (var  $l$ :  $T$ )
  var  $l_0 := l$ 
   $s$ ;
  return ( $l_0, l$ )

```

and  $\mathbf{d}(\langle v_0, v_1 \rangle) \stackrel{\text{def}}{=} \mathbf{1} := m(v_0, \mathbf{1}, v_1)$  updates the current local valuation based on the joined revision’s initial and final valuations  $v_0, v_1 \in \text{Vals}$ , and the joining revision’s current local valuation stored in  $\mathbf{1}$ . The vector  $\vec{r}$  contains each  $r_y$  for which the revision variable  $y$  is accessed in  $s$ .<sup>2</sup>

## 4.3 Programming with Asynchronous Procedures

*Asynchronous programs* [14, 19, 33] are becoming widely-used to build reactive systems, such as device drivers, web servers, and graphical user interfaces, with low-latency requirements. Essentially, a program is made up of a collection of short-lived tasks running one-by-one and accessing a global store, which post other tasks to be run at some later time. Tasks are initially posted by an initial procedure, and may also be generated by external system events. An *event loop* repeatedly chooses a pending task from its collection to execute to completion, adding the tasks it posts back to the task collection. Syntactically, asynchronous programs add two statements,

$$\mathbf{async} \ p \ e \quad \mathbf{eventloop}$$

such that **eventloop** is invoked only once as the last statement of the initial procedure. Semantically, the **async** statement initializes a

procedure call and returns control immediately, without waiting for the call to return. The **eventloop** statement repeatedly dispatches pending—i.e., called but not yet returned—procedures, and executing them to completion; each procedure executes atomically making both synchronous calls, as well as an unbounded number of additional asynchronous procedure calls. The order in which procedure calls are dispatched is chosen non-deterministically.

We encode asynchronous programs as (non-deterministic) recursively parallel programs using the **post** and **await** statements. Assuming a single region identifier  $r_0$ , we encode

$$\begin{array}{ll} \mathbf{async} \ p \ e & \text{as} \quad \mathbf{post} \ r_0 \leftarrow p' \ e \ \mathbf{d} \\ \mathbf{eventloop} & \text{as} \quad \mathbf{while} \ \mathbf{true} \ \mathbf{do} \ \mathbf{await} \ r_0. \end{array}$$

Supposing  $p$  has top-level statement  $s$  accessing a shared global variable  $g$  (besides the procedure parameter  $l$ ), we declare  $p'$  as

```

proc  $p'$  (var  $l$ :  $T$ )
  var  $g_0 := \star$ 
  var  $g := g_0$ 
   $s$ ; return ( $g_0, g$ ).

```

Finally  $\mathbf{d}(\langle v_0, v_1 \rangle) \stackrel{\text{def}}{=} \mathbf{assume} \ \mathbf{1} = v_0; \ \mathbf{1} := v_1$  models the atomic update  $p$  performs from an initial (guessed) shared global valuation  $v_0$ . Guessing allows us to simulate the communication of a shared global state  $g$ , which is later ensured to have begun with  $v_0$ , which the previously-executed asynchronous task had written.

## 5. Single-Wait Analysis

The absence of **await** edges in a program  $P$  implies the absence of zero-test transitions in the corresponding recursive vector addition system  $\mathcal{A}_P$ . To compute state-reachability in  $P$  via procedure summarization, we must summarize the recursive transitions of  $\mathcal{A}_P$  by additive transitions (in a non-recursive system) accounting for the left-over pending tasks returned by reach procedure. This is not trivial in general, since the space of possibly returned region valuations is infinite. In increasing difficulty, we isolate three special cases of single-wait programs, whose analysis problems are simpler than the general case. In the simplest “non-aliasing” case where the number of tasks stored in each region of a procedure frame is limited to one, the execution of **await** statements are deterministic. When the number of tasks stored in each region is not limited to one, non-determinism arises from the choice of which completed task to pick at each **await** statement (see the  $\exists$ WAIT rule of Figure 5). This added power makes the state-reachability problem at least as hard as state-reachability in vector addition systems—i.e., EXPSPACE-hard, though the precise complexity depends on the scope of pending tasks. After examining the PTIME-complete non-aliasing case, we examine two EXPSPACE-complete cases by restricting the scope of task handles, before moving to the general case.

### 5.1 Single-Wait Analysis without Aliasing

Many parallel programming languages consume only the computations of precisely-addressed tasks. In futures, for example, the **touch**  $x$  statement applies to the return value of a particular procedure—the last one whose future result was assigned to  $x$ . Similarly, in revisions, the **join**  $x$  statement applies to the last revision whose handle was stored in  $x$ . Indeed in the single-wait program semantics of each case, we are guaranteed that the corresponding region,  $r_x$ , contains at most one task handle. Thus the non-determinism arising (from choosing between tasks in a given region) in the  $\exists$ WAIT rule of Figure 3 disappears. Though both futures and revisions allow task-passing, the following results apply to futures- and revisions-based programs which only pass pending tasks from child to parent.

<sup>2</sup>Actually  $\vec{r}$  must in general be chosen non-deterministically, as each revision handle may be joined either by the parent revision or its branch.

**Definition 3** (Non aliasing). We say a region  $r \in \text{Regs}$  is *aliased* in a region valuation  $m : \text{Regs} \rightarrow \mathbb{M}[\text{Tasks}]$  when  $|m(r)| > 1$ . We say  $r$  is *aliasing* in a program  $P$  if there exists a reachable configuration  $C[\langle t, m \rangle]$  of  $P$  in which  $r$  is aliased in  $m$ . A *non-aliasing program* is a program in which no region is aliasing.

Note that the set of non-aliasing region valuations is finite when the number of program values is. The non-aliasing restriction thus allow us immediately to reduce the state-reachability problem for single-wait programs to reachability in a recursive finite-data sequential program. To compute state-reachability we consider a sequence  $\mathcal{A}_0 \mathcal{A}_1 \dots$  of finite-state systems iteratively under-approximating the recursive system  $\mathcal{A}_P$  given from a single-wait program  $P$ . Initially,  $\mathcal{A}_0$  has only the transitions of  $\mathcal{A}_P$  corresponding to intra-procedural and **post** transitions of  $P$ . At each step  $i > 0$ , we add to  $\mathcal{A}_i$  an additive edge summarizing an **await** transition

$$T[\text{await } r] \xrightarrow{\vec{n}_j \vec{n}} T[s],$$

for some  $t_0, t_f \in \text{Tasks}$  such that  $j = \text{cn}(r, t_0)$ ,  $s \in \text{rvh}(t_f)$ , and  $\vec{n}$  is reachable at  $t_f$  from  $t_0$  in  $\mathcal{A}_{i-1}$ , i.e.,  $\vec{n} \in \text{sms}(t_0, t_f, \mathcal{A}_{i-1})$ . This  $\mathcal{A}_0 \mathcal{A}_1 \dots$  sequence is guaranteed to reach a fixed-point  $\mathcal{A}_k$ , since the set of non-aliasing region valuation vectors, and thus the number of possibly added edges, is finite. Furthermore, as each  $\mathcal{A}_i$  is finite-state, only finite-state reachability queries are needed to determine the reachable states of  $\mathcal{A}_k$ , which are precisely the same reachable states of  $\mathcal{A}_P$ . Note that the number of region valuations grows exponentially in the number of regions.

**Theorem 2.** *The state-reachability problem for non-aliasing single-wait finite-value programs is PTIME-complete for a fixed number of regions, and EXPTIME-complete in the number of regions.*

## 5.2 Local-Scope Single-Wait Analysis

**Definition 4** (Local scope). A *local-scope program* is a program in which tasks only return with empty region valuations; i.e., for all reachable configurations  $C[\langle t[\text{return } e], m \rangle]$  we have  $m = m_\emptyset$ .

To solve state-reachability in local-scope single-wait programs, we compute a sequence  $\mathcal{A}_0 \mathcal{A}_1 \dots$  of non-recursive vector addition systems iteratively under-approximating the recursive system  $\mathcal{A}_P$  arising from a program  $P$ . The initial system  $\mathcal{A}_0$  has only the transitions of  $\mathcal{A}_P$  corresponding to intra-procedural and **post** transitions of  $P$ . At each step  $i > 0$ , we add to  $\mathcal{A}_i$  an additive edge summarizing an **await** transition

$$T[\text{await } r] \xrightarrow{\vec{n}_j \mathbf{0}} T[s]$$

for some  $t_0, t_f \in \text{Tasks}$  such that  $j = \text{cn}(r, t_0)$ ,  $s \in \text{rvh}(t_f)$ , and  $\vec{n} \in \text{sms}(t_0, t_f, \mathcal{A}_{i-1})$ ; since  $P$  is local-scope, every such  $\vec{n}$  must equal  $\mathbf{0}$ . Since the number of possibly added edges is polynomial in  $P$ , the  $\mathcal{A}_0 \mathcal{A}_1 \dots$  sequence is guaranteed to reach in a polynomial number of steps a fixed-point  $\mathcal{A}_k$  whose reachable states are exactly those of  $\mathcal{A}_P$ . The entire procedure is EXPSPACE-complete, since each procedure-summarization reachability query is equivalent to computing state-reachability in vector addition systems.

**Theorem 3.** *The state-reachability problem for local-scope single-wait finite-value programs is EXPSPACE-complete.*

## 5.3 Global-Scope Single-Wait Analysis

Another relatively simple case of interest is when pending tasks are allowed to leave the scope in which they are posted, but can only be consumed by a particular, statically declared, task in an enclosing scope. This is the case, for example, in asynchronous programs [33], though here we allow for slightly more generality, since tasks can be posted to multiple regions, and arbitrary control in the initial procedure frame is allowed.

**Definition 5** (Global scope). A *global-scope programs* is a program in which the **await** (and **await**) statements are used only in the initial procedure frame.

Since each non-initial procedure  $p$  of a global-scope program cannot consume tasks, the set of tasks posted by  $p$  and recursively-called procedures along any execution from  $t_0$  to  $t_f$  is a semi-linear set, described by the Parikh-image<sup>3</sup> of a context-free language. Following Ganty and Majumdar [14]’s approach, for each  $t_0, t_f \in \text{Tasks}$  we construct a polynomial-sized vector addition system  $\mathcal{A}(t_0, t_f)$  characterizing this semi-linear set of tasks (recursively) posted between  $t_0$  and  $t_f$ . Then, we use each  $\mathcal{A}(t_0, t_f)$  as a component of a non-recursive vector addition system  $\mathcal{A}'_P$  representing execution of the initial frame. In particular,  $\mathcal{A}'_P$  contains transitions to and from the component  $\mathcal{A}(t_0, t_f)$  for each  $t_0, t_f \in \text{Tasks}$ ,

$$T[\text{await } r] \xrightarrow{\vec{n}_j \mathbf{0}} \langle q_0, T[\text{skip}] \rangle \quad \langle q_f, T[\text{skip}] \rangle \xrightarrow{\mathbf{0}} T[s],$$

for all  $r \in \text{Regs}$  such that  $j = \text{cn}(r, t_0)$ ,  $s \in \text{rvh}(t_f)$ , and  $q_0$  and  $q_f$  are the initial and final states of  $\mathcal{A}(t_0, t_f)$ . We assume each  $\mathcal{A}(t_0, t_f)$  has unique initial and final states, distinct from the states of other components  $\mathcal{A}(t'_0, t'_f)$ . In order to transition to the correct state  $T[s]$  upon completion,  $\mathcal{A}(t_0, t_f)$  carries an auxiliary state-component  $T[\text{skip}]$ . In this way, for each task  $t'$  posted to region  $r'$  in an execution between  $t_0$  and  $t_f$ , the component  $\mathcal{A}(t_0, t_f)$  does the incrementing of the  $\text{cn}(r', t')$ -component of the region-valuation vector. As each of the polynomially-many components  $\mathcal{A}(t_0, t_f)$  are constructed in polynomial time [14], this method constructs  $\mathcal{A}'_P$  in polynomial time. Thus state-reachability in  $P$  is computed by state-reachability in the non-recursive vector addition system  $\mathcal{A}'_P$ , in exponential space. The complexity is asymptotically optimal since global-scope single-wait programs are powerful enough to capture state-reachability in vector addition systems.

**Theorem 4.** *The state-reachability problem for global-scope single-wait finite-value programs is EXPSPACE-complete.*

## 5.4 The General Case of Single-Wait Analysis

In general, the state-reachability problem for finite-value single-wait programs is as hard as state-reachability in recursive vector addition systems without zero-test edges.

**Theorem 5.** *The state-reachability problem for single-wait finite-value programs is EXPSPACE-hard, and in 2EXPTIME.*

Demri et al. [8]’s proof of membership in 2EXPTIME relies on a non-deterministically chosen reachability witness without materializing a practical algorithm for the search of said witness. Here we give a summarization-based algorithm.

To compute state-reachability we consider again a sequence  $\mathcal{A}_0 \mathcal{A}_1 \dots$  of non-recursive vector addition systems successively under-approximating the recursive system  $\mathcal{A}_P$  of a single-wait program  $P$ . Initially  $\mathcal{A}_0$  has only the transitions of  $\mathcal{A}_P$  corresponding to intra-procedural and **post** transitions of  $P$ . At each step  $i > 0$ , we add to  $\mathcal{A}_i$  an additive edge summarizing an **await** transition

$$T[\text{await } r] \xrightarrow{\vec{n}_j \vec{n}} T[s],$$

for some  $t_0, t_f \in \text{Tasks}$  such that  $j = \text{cn}(r, t_0)$ ,  $s \in \text{rvh}(t_f)$ , and  $\vec{n} \in \text{sms}(t_0, t_f, \mathcal{A}_{i-1})$ . Even though the set of possible added additive edges summarizing recursive transitions is infinite, with careful analysis we can show that this very simple algorithm terminates, provided we can bound the edge-labels  $\vec{n}$  needed to compute state-reachability in  $\mathcal{A}_P$ . It turns out we can bound these

<sup>3</sup>The Parikh-image of a word  $w$  over an alphabet  $\Sigma$  is the  $|\Sigma|$ -dimension vector of integers counting the number of occurrences of each symbol of  $\Sigma$  in  $w$ . The image of a language is the set of images of its elements.



edge labels, by realizing that the minimal vectors required to reach a target state from any given program location are bounded.

We adopt an approach based on iteratively applying backward reachability analyses in order to determine for each task  $t$  the set of vectors  $\eta(t)$  needed to reach the target state in  $\mathcal{A}_P$ . Let us first recall some useful basic facts. Vector addition systems are monotonic w.r.t. the natural ordering on vectors of integers, i.e., if a transition is possible from a vector  $v$ , it is also possible from any  $u$  greater than  $v$ . The ordering on vectors of integers is a well quasi-ordering (WQO), i.e., in every sequence of vectors  $v_0, v_1, \dots$ , there are two indices  $i < j$  such that  $v_i$  is less or equal than  $v_j$ . Thus, every infinite set of vectors has a finite number of minimals. A set of vectors is upward closed if whenever it contains  $v$  it also contains all vectors greater than  $v$ . Such a set can be characterized by its minimals. Moreover, the set of all predecessors in a vector addition system of an upward closed set of vectors is also upward closed; and therefore backward reachability analysis in these systems always terminates starting from an upward closed set [1, 11].

We observe that for every task  $t$ , the set  $\eta(t)$  is upward closed (by monotonicity), and therefore we need only determine its minimals. However, since our model is *recursive* vector addition systems, we must solve several state-reachability queries on a sequence of vector addition systems with increasingly more transitions, which necessarily stabilizes. We elaborate below.

First, in order to reason backward about executions to the target state, consider the non-recursive system  $\mathcal{A}'_i$  obtained by adding “return” transitions  $t_f \xrightarrow{00} T[s]$  from every procedure exit point  $t_f = T_f[\mathbf{return} \ e]$  and procedure return point  $T[\mathbf{await} \ e]$  occurring in  $P$  such that  $s \in \text{rvh}(t_f)$ . These extra transitions in  $\mathcal{A}'_i$  simulate a return from  $t_f$  to  $t$ , transferring all of the pending tasks from a frame at  $t_f$  to a frame at  $T[s]$ , without any contribution from the  $T[s]$ ’s intra-procedural predecessor  $T[\mathbf{await} \ e]$ .

Then define a sequence of functions  $\eta_0, \eta_1, \dots : \text{Tasks} \rightarrow \wp(\mathbb{N}^k)$ , each  $\eta_i$  mapping each  $t \in \text{Tasks}$  to the (possibly empty, upward-closed) set of vectors  $\eta_i(t)$  such that for any  $\vec{n} \in \eta_i(t)$ , a configuration  $\langle t, \vec{n} \rangle$  is guaranteed to reach the target reachable state in  $\mathcal{A}'_i$ —and thus  $\langle t, \vec{n} \rangle c$  is guaranteed to reach the target reachable state in  $\mathcal{A}_P$  for any  $c$ ; each  $\eta_i$  can be computed in by backward reachability in the non-recursive vector addition system as explained above. Since each  $\mathcal{A}_i$  contains at least the transitions of  $\mathcal{A}_{i-1}$ , the  $\eta_i$ -sequence is non-decreasing w.r.t. set inclusion; i.e., more and more configurations can reach the target state; i.e., for all  $t \in \text{Tasks}$  we have  $\eta_{i-1}(t) \subseteq \eta_i(t)$ . Since there can be no ever-increasing sequence of upward-closed sets of vectors over natural numbers (by the fact that the ordering on vectors of natural numbers is a WQO), the  $\eta_i$  sequence must stabilize after a finite number of steps.

Furthermore, since any  $\vec{n} \in \eta_i(t)$  is guaranteed to reach the target state, it suffices to consider only vectors  $\vec{n}'$  bounded by the minimals of the upward-closed set  $\eta_i(t)$ . To see why, notice that if some  $\vec{n} \in \eta_i(t)$  labels an edge between  $t_0$  and  $t$ , then every configuration at  $t_0$  is guaranteed to reach the target state, since this edge adds the vector guaranteed to reach the target from  $t$ . Additionally, any vector greater than a minimal of  $\eta_i(t)$  is already guaranteed to be present in  $\eta_i(t)$ , since  $\eta_i(t)$  is upward closed. Thus we need only consider edge-labels bounded by the decreasing  $\eta_0 \eta_1 \dots$  sequence, which shows that the  $\mathcal{A}_0 \mathcal{A}_1 \dots$  sequence stabilizes after a finite number of steps.

## 6. Multi-Wait Programs

Though single-wait programs capture many parallel programming constructs, they can not express waiting for each and every of an unbounded number of tasks to complete. Some programming languages require this dual notion, expressed here with **await**.

**Definition 6** (Multi wait). A *multi-wait program* is a program which does not contain the **await** statement.

Thus, multi-wait programs can wait only on every pending task (in a given region) at any program point. Many parallel programming constructs can be modeled as multi-wait programs.

### 6.1 Parallel Programming in Cilk

The Cilk parallel programming language [30] is an industrial-strength language with an accompanying runtime system which is used in a spectrum of environments, from modest multi-core computations to massively parallel computations with supercomputers. Similarly to futures (see Section 4.1), Cilk adds a form of procedure call which immediately returns control to the caller. Instead of an operation to synchronize with a *particular* previously-called procedure, Cilk only provides an operation to synchronize with *every* previously-called procedure. At such a point, the previously-called procedures communicate their results back to the caller one-by-one with atomically-executing procedure in-lined in scope of the caller. Syntactically, Cilk adds two statements

$$\mathbf{spawn} \ p \ e \ p' \quad \mathbf{sync},$$

where  $p$  ranges over procedures,  $e$  over expressions, and  $p'$  over procedures declared by

$$\mathbf{inlet} \ p' \ (\mathbf{var} \ \mathbf{rv} : T) \ s.$$

Here  $s$  ranges over intra-procedural program statements containing two variables:  $\mathbf{rv}$ , corresponding to the value returned from a spawned procedure, and  $\mathbf{l}$ , corresponding to the local variable of the spawning procedure. Semantically, the **spawn** statement creates a new process in which to execute the given procedure, which proceeds to execute in parallel with the caller—and all other processes created in this way. The **sync** statement blocks execution of the current procedure until each spawned procedure completes, and executes its associated inlet. The inlets of each procedure execute atomically. Each procedure can spawn an unbounded number of parallel processes, and the order in which the inlets of procedures execute is chosen non-deterministically.

**Example 3.** The Fibonacci function can be implemented as a parallel algorithm using Cilk as follows.

```

proc fib (var n: N)
  var sum: N
  if n < 2 then
    return 1
  else
    spawn fib (n-1) sum;
    spawn fib (n-2) sum;
    sync;
    return sum

inlet summer (var i: N)
  sum := sum + i

```

As opposed to the usual (naïve) sequential implementation operating in time  $\mathcal{O}(n^2)$ , this parallel implementation runs in time  $\mathcal{O}(n)$ .

The semantics of Cilk is ready expressed with recursively parallel programs using the **post** and **await** statements. Assuming a region identifier  $\mathbf{r}_0$ , we encode

$$\begin{array}{ll} \mathbf{spawn} \ p \ e \ p' & \text{as} \quad \mathbf{post} \ \mathbf{r}_0 \leftarrow p \ e \ \mathbf{d}_{p'} \\ \mathbf{sync} & \text{as} \quad \mathbf{await} \ \mathbf{r}_0 \end{array}$$

where  $\mathbf{d}_{p'}(v) \stackrel{\text{def}}{=} s_{p'}[v/\mathbf{rv}]$  executes the top-level statement of the inlet  $p'$  with input parameter  $v$ .

## 6.2 Parallel Programming with Asynchronous Statements

The `async/finish` pair of constructs in X10 [7] introduces parallelism through asynchronously executing statements and synchronization blocks. Essentially, an asynchronous statement immediately passes control to a following statement, executing itself in parallel. A synchronization block executes as any other program block, but does not pass control to the following statements/block until every asynchronous statement within has completed. Syntactically, this mechanism is expressed with two statements,

$$\text{async } s \quad \text{finish } s$$

where  $s$  ranges over program statements. Semantically, the `async` statement creates a new process to execute the given statement, which proceeds to execute in parallel with the invoker—and all other processes created in this way. The `finish` statement executes the given statement  $s$ , then blocks execution until every process created within  $s$  has completed.

**Example 4.** The Fibonacci function can be implemented as a parallel algorithm using asynchronous statements as follows.

```

proc fib (var n: N)
  var x, y: N
  if n < 2 then
    return 1
  else
    finish
      async call x := fib (n-1);
      async call y := fib (n-2);
    return x + y

```

As opposed to the usual (naïve) sequential implementation operating in time  $\mathcal{O}(n^2)$ , this parallel implementation runs in time  $\mathcal{O}(n)$ .

Asynchronous statements are readily expressed with (non-deterministic) recursively parallel programs using the `post` and `await` statements. Let  $N$  be the maximum depth of nested `finish` statements. Assuming region identifiers  $r_1, \dots, r_N$ , we encode

$$\begin{array}{ll} \text{async } s & \text{as } \text{post } r_i \leftarrow p_s * d \\ \text{finish } s & \text{as } \text{await } r_i \end{array}$$

where  $i - 1$  is number of enclosing `finish` statements, and  $p_s$  is a procedure declared as

```

proc p_s (var l: T)
  var l_0 := l
  s;
  return (l_0, l)

```

and  $d(\langle v_0, v_1 \rangle) \stackrel{\text{def}}{=} \text{assume } l = v_0; l := v_1$  models the update  $p$  performs from an initial (guessed) local valuation  $v_0$ . Using the same trick we have used to model asynchronous programs in Section 4.3, we model the sequencing of asynchronous tasks by initially guessing the value  $v_0$  which the previously-executed asynchronous tasks had written, and validating that value when the return-value handler of a given task is finally run. Note that although X10 allows, in general, asynchronous tasks to interleave their memory accesses, our model captures only non-interfering tasks, by assuming either data-parallelism (i.e., disjoint accesses to data), or by assuming tasks are properly synchronized to ensure atomicity.

## 7. Multi-Wait Analysis

The presence of `await` edges implies the presence of zero-test transitions in the recursive vector addition system  $\mathcal{A}_P$  corresponding to a multi-wait program  $P$ . As we have done for single-wait programs, we first examine the easier sub-case of local-scope programs, which in the multi-wait setting corresponds concurrency in the Cilk [30] language (modulo task interleaving), as well as

structured parallel programming constructs such as the `foreach` parallel loop in X10 [7] and in Leijen et al. [25]’s task parallel library (see our extended online report [3]). The concurrent behavior of the asynchronous statements (Section 6.2) in X10 [7] does not satisfy the local-scope restriction, since `async` statements can include recursive procedure calls which are nested without interpolating `finish` statements. There computing state-reachability is equivalent to determining whether a particular vector is reachable in a non-recursive vector addition system—a decidable problem which is known to be EXPSPACE-hard, but for which the only known algorithms are non-primitive recursive. Since all multi-wait parallel languages we have encountered use only a single-region, we restrict our attention at present to single-region multi-wait programs.

### 7.1 Local-Scope Single-Region Multi-Wait Analysis

With the local-scoping restriction, executions of each procedure  $p \in \text{Procs}$  between entry point  $t_0 \in \text{Tasks}$  and exit point  $t_f \in \text{Tasks}$  are completely summarized by a Boolean indicating whether or not  $t_f$  is reachable from  $t_0$ . However, as executions of  $p$  may encounter `await` statements, modeled by zero-test edges in the recursive vector addition system  $\mathcal{A}_P$ , computing this Boolean requires determining the reachable program valuations between each pair of consecutive “synchronization points” (i.e., occurrences of the `await` statement), which in principle requires deciding whether the vector  $\mathbf{0}$  is reachable in a vector addition system describing execution from the program point just after the first `await` statement to the point just after the second; i.e., when  $T_1[\text{await } r]$  and  $T_2[\text{await } r]$  are consecutively-occurring synchronization points, we must determine whether  $\langle T_1[\text{skip}], \mathbf{0} \rangle$  can reach  $\langle T_2[\text{skip}], \mathbf{0} \rangle$ .

A careful analysis of our reachability problem reveals it does not have the EXPSPACE-hard complexity of determining vector-reachability in general, due to the special structure of our reachability query. We notice that between two synchronization points  $t_1$  and  $t_2$  of  $p$ , execution proceeds in two phases. In the first, `post` statements made by  $p$  only increment the vector valuations. In the second phase, starting when the second `await` statement is encountered, the `await` statement repeatedly consumes tasks, only decrementing the vector valuations—the vector valuations can not be re-incremented again because of the local-scope restriction: each consumed task is forbidden from returning addition tasks. Due to this special structure, deciding reachability between  $t_1$  and  $t_2$  reduces to deciding if a particular integer linear program  $I(t_1, t_2)$  has a solution.

Since consuming tasks in the `await`-loop requires using the summaries computed for other procedures, we consider a sequence  $\mathcal{A}_0 \mathcal{A}_1 \dots$  of non-recursive vector addition systems iteratively under-approximating the recursive system  $\mathcal{A}_P$ . Initially  $\mathcal{A}_0$  has only the transitions of  $\mathcal{A}_P$  corresponding to intra-procedural and `post` transitions of  $P$ . At each step  $i > 0$ , we add to  $\mathcal{A}_i$  one of two edges types. One type is an additive procedure-summary edge, used to describe a single task-consumption step of an `await` transition,

$$T[\text{await } r] \xrightarrow{\vec{n}_j \mathbf{0}} T[s; \text{await } r],$$

for some  $t_0, t_f \in \text{Tasks}$  such that  $j = \text{cn}(r, t_0)$ ,  $s \in \text{rvh}(t_f)$ , and  $\text{sms}(t_0, t_f, \mathcal{A}_{i-1}) \neq \emptyset$ . The second possibility is an additive synchronization-point summary edge, summarizing an entire of sequence of program transitions between two synchronization points,

$$T_1[\text{skip}] \xrightarrow{\mathbf{00}} T_2[\text{skip}],$$

where  $T_1[\text{await } r], T_2[\text{await } r] \in \text{Tasks}$  are consecutive synchronization points occurring  $P$ , and  $\mathbf{0} \in \text{sms}(T_1[\text{skip}], T_2[\text{skip}], \mathcal{A}_P)$ . The procedure-summary edges are computed using only finite-state reachability between program states, using the synchronization-point summary edges, while the synchronization-point summary edges are computed by reduction to integer linear programming. As

the number of possible edges is bounded polynomially in the program size, the  $\mathcal{A}_0\mathcal{A}_1$  sequence is guaranteed to reach a fixed-point  $\mathcal{A}_k$  in a polynomial number of steps, though each step may take nondeterministic-polynomial time, in the worst case, to compute solutions to integer linear programs. The reachable states of  $\mathcal{A}_k$  are precisely the same reachable states of  $\mathcal{A}_P$ .

**Theorem 6.** *The state-reachability problem for local-scope multi-wait single-region finite-value programs is NP-complete.*

## 7.2 Single-Region Multi-Wait Analysis

Without the local-scoping restriction, each execution of each procedure  $p \in \text{Procs}$  between entry point  $t_0 \in \text{Tasks}$  and exit point  $t_f \in \text{Tasks}$  is summarized by the tasks posted between the last-encountered **await** statement, at a “synchronization point”  $t_s \in \text{Tasks}$  (note that  $t_s = t_0$  if no **await** statements are encountered), and a **return** statement, at the exit point  $t_f$ . Since  $p$  can make recursive procedure calls between  $t_s$  and  $t_f$ , and each called procedure can again return pending tasks, the possible sets of pending tasks upon  $p$ ’s return at  $t_f$  is described by the Parikh-image<sup>3</sup> of a context-free language  $L(t_0, t_f)$ . It turns out we can describe this image as the set of vectors computed by a polynomially-sized vector addition system  $\mathcal{A}^L(t_0, t_f)$  without recursion and zero-test edges [14]. We use thus computations of  $\mathcal{A}^L(t_0, t_f)$  to summarize the set of possible region-valuations reached in an execution from  $t_0$  to  $t_f$ . However, computing  $\mathcal{A}^L(t_0, t_f)$  is not immediate, since between  $t_0$  and the last-encountered synchronization point  $t_s$ , execution of the given procedure  $p$  may encounter **await** statements (necessarily so when  $t_0 \neq t_s$ ). Since we use zero-test edges to express **await** statements, we also need to summarize execution between synchronization points (i.e., between the procedure entry point and among **await** statements) using only additive edges. To further complicate matters, each such summarization requires, in turn, the summaries  $\mathcal{A}^L(t'_0, t'_f)$  computed for other procedures!

We break the circular dependence between procedure summaries and synchronization-point summaries by iteratively computing both. In particular, we compute a sequence  $\mathcal{A}_0^L, \mathcal{A}_1^L, \dots$  of procedure summary vector addition systems along with a sequence  $\mathcal{A}_0, \mathcal{A}_1, \dots$  of vector addition systems such that each  $\mathcal{A}_i^L$ , for  $i > 0$ , is computed using the transitions of  $\mathcal{A}_{i-1}$ , and  $\mathcal{A}_i$ , for  $i \geq 0$  is computed using the procedure summaries of  $\mathcal{A}_i^L$ . Initially  $\mathcal{A}_0^L$  contains only the pending-task sets reachable without taking **await** transitions, and  $\mathcal{A}_0$  contains only the transitions of  $\mathcal{A}_P$  corresponding to intra-procedural and **post** transitions of  $P$ , along with transitions to components  $\mathcal{A}_0^L$ . For  $i \geq 0$ ,  $\mathcal{A}_i$  contains transitions to and from the components  $\mathcal{A}_i^L(t_0, t_f)$

$$T[\text{await } r] \xrightarrow{\vec{n}_j \mathbf{0}} \langle q_0, T[\text{skip}] \rangle \quad \langle q_f, T[\text{skip}] \rangle \xrightarrow{\mathbf{00}} T[s; \text{await } r]$$

for each  $t_0, t_f \in \text{Tasks}$  such that  $j = \text{cn}(r, t_0)$ ,  $s \in \text{rvh}(t_f)$ , and  $q_0$  and  $q_f$  are the unique initial and final states of  $\mathcal{A}_i^L(t_0, t_f)$ . (We assume each component  $\mathcal{A}_i^L(t_0, t_f)$  has unique initial and final states, distinct from the states of other components. Additionally, we equip each  $\mathcal{A}_i^L(t_0, t_f)$  with auxiliary state to carry the identity  $T[\text{skip}]$  of the invoking task to ensure the proper return of control when  $\mathcal{A}_i^L(t_0, t_f)$  completes.)

At each step  $i > 0$ , we add to  $\mathcal{A}_i$  an additive edge summarizing the execution between two synchronization points  $T_1[\text{await } r]$  and  $T_2[\text{await } r]$  occurring in  $P$ :

$$T_1[\text{skip}] \xrightarrow{\mathbf{00}} T_2[\text{skip}]$$

such that  $T_2[\text{skip}]$  is reachable in  $\mathcal{A}_{i-1}$  from  $T_1[\text{skip}]$ , i.e.,  $\mathbf{0} \in \text{sms}(T_1[\text{skip}], T_2[\text{skip}], \mathcal{A}_{i-1})$ . Note that when  $T[\text{await } r]$  is a synchronization point occurring in  $P$ ,  $T[\text{skip}]$  refers to the program point immediately after the **await** statement. Since there are only polynomially-many such edges that can possibly be added, we are

## State-Reachability in Recursively Parallel Programs

	result	complexity	language/feature
<b>Task-Passing</b>			
general	Thm. 1	undecidable	futures, revisions
<b>Single-Wait</b>			
non-aliasing	Thm. 2	PTIME	futures <sup>†</sup> , revisions <sup>†</sup>
local scope	Thm. 3	EXPSpace	—
global scope	Thm. 4	EXPSpace	asynchronous programs
general	Thm. 5	2EXPTIME	—
<sup>†</sup> For programs without task-passing.			
<b>Multi-Wait (single region)</b>			
local scope	Thm. 6	NP	Cilk
general	Thm. 7	decidable	async (X10)

**Figure 8.** Summary of results for computing state-reachability for finite-value recursively parallel programs.

guaranteed to reach a fixed-point  $\mathcal{A}_k$  of  $\mathcal{A}_0\mathcal{A}_1 \dots$  in a polynomial number of steps. Furthermore, the reachable states of  $\mathcal{A}_k$  are precisely the same reachable states of  $\mathcal{A}_P$ . However, computing  $\mathbf{0} \in \text{sms}(t_1, t_2, \mathcal{A}_{i-1})$  at each step is difficult due to the zero-test edge in the **await** statement immediately preceding  $t_2$ ; this is computationally equivalent to computing reachability of a particular vector in non-recursive vector addition systems.

**Theorem 7.** *The state-reachability problem for multi-wait single-region finite-value programs is decidable.*

Since practical algorithms to compute vector-reachability is a difficult open problem, we remark that it is possible to obtain algorithms to approximate our state-reachability problem. Consider, for instance, the over-approximate semantics given by transforming each **await**  $r$  statement into **while**  $\star$  **do await**  $r$ . Though many more behaviors are present in the resulting program, since not every task is necessarily consumed during the **while** loop, practical algorithmic solutions are more probable (see Section 5.4).

## 8. Related Work

Formal modeling and verification of multi-threaded programs has been heavily studied, including but not limited to identifying decidable sub-classes [20], and effective over-approximate [13, 18] and under-approximate [9, 22] analyses.

To our knowledge little work has been done in formal modeling and verification of programs written in explicitly-parallel languages which are free of thread interleaving. Sen and Viswanathan [33]’s asynchronous programs, which falls out as a special case of our single-wait programs, is perhaps most similar to our work in this regard. Practical verification algorithms by combining iterative over- and under-approximation [19], and in-depth complexity analysis [14] of asynchronous programs have been studied.

Though decidability results of abstract parallel models have been reported [5, 10] (Bouajjani and Esparza [4] survey of this line of work), these works target abstract computation models, and do not identify precise complexities and optimal algorithms for real-world parallel programming languages, nor do they handle the case where procedures can return unbounded sets of unfinished computations to their callers.

## 9. Conclusion

We have proposed a general model of recursively parallel programs which captures the concurrency constructs in a variety of popular programming languages. By isolating the fragments corresponding to various language features, we are able to associate corresponding formal models, measure the complexity of state-reachability, and provide precise analysis algorithms. We hope our complexity measurements may be used to guide the design and choice of concurrent programming languages and program analyses. Figure 8 summarizes our results.

## Acknowledgments

We greatly appreciate formative discussions with Arnaud Sangnier and Peter Habermehl, and the feedback of Pierre Ganty, Giorgio Delzanno, Rupak Majumdar, Tom Ball, Sebastian Burckhardt, and the anonymous POPL reviewers.

## References

- [1] P. A. Abdulla, K. Cerans, B. Jonsson, and Y.-K. Tsay. General decidability theorems for infinite-state systems. In *LICS '96: Proc. 11th IEEE Symposium on Logic in Computer Science*, pages 313–321. IEEE Computer Society, 1996.
- [2] E. Allen, D. Chase, V. Luchangco, J.-W. Maessen, S. Ryu, G. L. S. Jr., and S. Tobin-Hochstadt. The Fortress language specification. Technical report, Sun Microsystems, Inc., 2006.
- [3] A. Bouajjani and M. Emmi. Analysis of recursively parallel programs. 2011. <http://hal.archives-ouvertes.fr/hal-00639351/en>.
- [4] A. Bouajjani and J. Esparza. Rewriting models of boolean programs. In *RTA '06: Proc. 17th International Conference on Term Rewriting and Applications*, volume 4098 of *LNCS*, pages 136–150. Springer, 2006.
- [5] A. Bouajjani, M. Müller-Olm, and T. Touili. Regular symbolic analysis of dynamic networks of pushdown systems. In *CONCUR '05: Proc. 16th International Conference on Concurrency Theory*, volume 3653 of *LNCS*, pages 473–487. Springer, 2005.
- [6] S. Burckhardt, A. Baldassin, and D. Leijen. Concurrent programming with revisions and isolation types. In *OOPSLA '10: Proc. 25th Annual ACM SIGPLAN Conference on Object-Oriented Programming, Systems, Languages, and Applications*, pages 691–707. ACM, 2010.
- [7] P. Charles, C. Grothoff, V. A. Saraswat, C. Donawa, A. Kielstra, K. Ebcioglu, C. von Praun, and V. Sarkar. X10: an object-oriented approach to non-uniform cluster computing. In *OOPSLA '05: Proc. 20th Annual ACM SIGPLAN Conference on Object-Oriented Programming, Systems, Languages, and Applications*, pages 519–538. ACM, 2005.
- [8] S. Demri, M. Jurdzinski, O. Lachish, and R. Lazic. The covering and boundedness problems for branching vector addition systems. In *FSTTCS '09: IARCS Annual Conference on Foundations of Software Technology and Theoretical Computer Science*, pages 181–192, 2009.
- [9] J. Esparza and P. Ganty. Complexity of pattern-based verification for multithreaded programs. In *POPL '11: Proc. 38th ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 499–510. ACM, 2011.
- [10] J. Esparza and A. Podelski. Efficient algorithms for pre\* and post\* on interprocedural parallel flow graphs. In *POPL '00: Proc. 27th ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 1–11. ACM, 2000.
- [11] A. Finkel and P. Schnoebelen. Well-structured transition systems everywhere! *Theor. Comput. Sci.*, 256(1-2):63–92, 2001.
- [12] C. Flanagan and M. Felleisen. The semantics of future and an application. *J. Funct. Program.*, 9(1):1–31, 1999.
- [13] C. Flanagan and S. Qadeer. Thread-modular model checking. In *SPIN '03: Proc. 10th International Workshop on Model Checking Software*, volume 2648 of *LNCS*, pages 213–224. Springer, 2003.
- [14] P. Ganty and R. Majumdar. Algorithmic verification of asynchronous programs. *CoRR*, abs/1011.0551, 2010. <http://arxiv.org/abs/1011.0551>.
- [15] G. Geeraerts, J.-F. Raskin, and L. V. Begin. Expand, enlarge and check: New algorithms for the coverability problem of wsts. *J. Comput. Syst. Sci.*, 72(1):180–203, 2006.
- [16] S. Graf and H. Saïdi. Construction of abstract state graphs with PVS. In *CAV '97: Proc. 9th International Conference on Computer Aided Verification*, volume 1254 of *LNCS*, pages 72–83. Springer, 1997.
- [17] R. H. Halstead Jr. Multilisp: A language for concurrent symbolic computation. *ACM Trans. Program. Lang. Syst.*, 7(4):501–538, 1985.
- [18] T. A. Henzinger, R. Jhala, R. Majumdar, and S. Qadeer. Thread-modular abstraction refinement. In *CAV '03: Proc. 15th International Conference on Computer Aided Verification*, volume 2725 of *LNCS*, pages 262–274. Springer, 2003.
- [19] R. Jhala and R. Majumdar. Interprocedural analysis of asynchronous programs. In *POPL '07: Proc. 34th ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 339–350. ACM, 2007.
- [20] V. Kahlon. Boundedness vs. unboundedness of lock chains: Characterizing decidability of pairwise CFL-reachability for threads communicating via locks. In *LICS '09: Proc. 24th Annual IEEE Symposium on Logic in Computer Science*, pages 27–36. IEEE Computer Society, 2009.
- [21] D. Kozen. Lower bounds for natural proof systems. In *FOCS '77: Proc. 18th Annual Symposium on Foundations of Computer Science*, pages 254–266. IEEE Computer Society, 1977.
- [22] A. Lal and T. W. Reps. Reducing concurrent analysis under a context bound to sequential analysis. *Formal Methods in System Design*, 35(1):73–97, 2009.
- [23] J. R. Larus and R. Rajwar. *Transactional Memory*. Morgan & Claypool, 2006. <http://www.morganclaypool.com/doi/abs/10.2200/S00070ED1V01Y200611CAC002>.
- [24] E. A. Lee. The problem with threads. *IEEE Computer*, 39(5):33–42, 2006.
- [25] D. Leijen, W. Schulte, and S. Burckhardt. The design of a task parallel library. In *OOPSLA '09: Proc. 24th Annual ACM SIGPLAN Conference on Object-Oriented Programming, Systems, Languages, and Applications*, pages 227–242. ACM, 2009.
- [26] R. J. Lipton. The reachability problem requires exponential space. Technical Report 62, Yale University, 1976.
- [27] P. Pratikakis, H. Vandierendonck, S. Lyberis, and D. S. Nikolopoulos. A programming model for deterministic task parallelism. In *MSPC '11: Proc. 2011 ACM SIGPLAN Workshop on Memory Systems Performance and Correctness*, pages 7–12. ACM, 2011.
- [28] C. Rackoff. The covering and boundedness problems for vector addition systems. *Theor. Comput. Sci.*, 6:223–231, 1978.
- [29] G. Ramalingam. Context-sensitive synchronization-sensitive analysis is undecidable. *ACM Trans. Program. Lang. Syst.*, 22(2):416–430, 2000.
- [30] K. H. Randall. *Cilk: Efficient Multithreaded Computing*. PhD thesis, Department of Electrical Engineering and Computer Science, Massachusetts Institute of Technology, May 1998.
- [31] T. W. Reps, S. Horwitz, and S. Sagiv. Precise interprocedural dataflow analysis via graph reachability. In *POPL '95: Proc. 22th ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*, pages 49–61. ACM, 1995.
- [32] C. Segulja and T. S. Abdelrahman. Synchronization-free and deterministic coarse-grain parallelism: Architectural support and programming model. In *FASPP '11: Proc. First International Workshop on Future Architectural Support for Parallel Programming*, 2011.
- [33] K. Sen and M. Viswanathan. Model checking multithreaded programs with asynchronous atomic methods. In *CAV '06: Proc. 18th International Conference on Computer Aided Verification*, volume 4144 of *LNCS*, pages 300–314. Springer, 2006.